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Revised: 17 March 2000

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I hereby certify under 37 CFR 1.10 that this correspo "Express Mail Post Office to Addressee" with suffi Assistant Commissioner of Patents, Washington, D.C Luis A. Cruz Printed name of person mailing correspondence	icient postage on the date indic C. 20231.							

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Substitute Form PTO 1390 U.S. Department of Commerce Patent and Trademark Office Attorney's Docket Number: 04585/048002											
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 U.S. Application Number: Not known yet											
INTERNATIONAL APPLICATION NUMBER INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED											
	14 October 1997										
TITLE	OF IN	NVENTION:	THERA	PEUTIC METHODS COMPRISI	NG USE OF A NEUREGULIN						
APPL	ICANT	S FOR DO/EO/US:	Robert I	N. McBurney, William Holt, David	I. Gwynne, and Mark Marchionni						
	cant he	erewith submits to the U		s Designated/Elected Office (DO/EO/US	-						
1.	х	This is a FIRST subn	nission of ite	ems concerning a filing under 35 U.S.C.	371.						
2.		This is a SECOND or	SUBSEQL	ENT submission of items concerning a	filing under 35 U.S.C. 371.						
3.	Х	This is an express redelay examination un 39(1).	This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).								
4.	Х	A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date.									
5.	Х	A copy of the Interna	tional Applic	cation as filed (35 U.S.C. 371(c)(2)).							
a.	Х	is transmitted herewit	h (required	only if not transmitted by the Internation	al Bureau).						
b.		has been transmitted	by the Inte	rnational Bureau.							
c.		ls not required, as the	e application	n was filed with the United States Receiv	ring Office (RO/US).						
6.		A translation of the Ir	ternational	Application into English (35 U.S.C. 371(c)(2).						
7.	х	Amendments to the o	laims of the	International Application under PCT Art	ticle 19 (35 U.S.C. 371(c)(3)).						
a.		are transmitted herev	vith (require	d only if not transmitted by the Internation	onal Bureau).						
b.		have been transmitte	d by the Int	ernational Bureau.							
c.		have not been made;	however, t	he time limit for making such amendmer	nts has NOT expired.						
d.	х	have not been made	and will not	be made.							
8.		A translation of the a	mendments	to the claims under PCT Article 19 (35	U.S.C. 371(c)(3)).						
9.	х	An unsigned oath or	declaration	of the inventors (35 U.S.C. 371(c)(4)).							
10.		A translation of the a 371 (c)(5).	nnexes to t	ne International Preliminary Examination	Report under PCT Article 36 (35 U.S.C.						

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11.		An Infor	mation Disclosure Sta	tement under 37 CFR	1.97 and 1.98.							
12.		An assiç	nment for recording.	with 37 3.28 and 3.31 is	s included.							
13.	х	A FIRST	「preliminary amendm	ent.								
		A SECC	OND or SUBSEQUEN	T preliminary amendme	ent.							
14.		A substitute specification.										
15.		A change of power of attorney and/or address letter.										
16.		Other ite	ems or information:									
17.		The follo	owing fees are submit	ted:								
		BASIC	NATIONAL FEE (37 C	FR 1.492(A)(1)-(5)):								
		Sear	ch Report has been p	repared by the EPO or	JPO \$840.00							
			national preliminary ex TO (37 CFR 1.482)	camination fee paid to	\$ 670.00	\$670.00	**					
		to US	iternational preliminar SPTO (37 CFR 1.482) 37 CFR 1.445(a)(2)) p	y examination fee paid but international seard aid to USPTO	h \$ 690.00							
		(37 C	er international prelim CFR 1.482) nor interna 1.445(a)(2)) paid to U		\$ 970.00							
		USP	national preliminary ex TO (37 CFR 1.482) an sions of PCT Article 3	nd all claims satisfied	\$ 96.00							
			ENTER API	PROPRIATE BASIC FI	EE AMOUNT =	\$670.00						
Surcha	arge o	f \$130 for the earlie	furnishing the oath or st claimed priority dat	declaration later than te (37 CFR 1.492(e)).	□ 20 OR □ 30	\$						
CLAIM	18		NUMBER FILED	NUMBER EXTRA	RATE							
Total c	aims		38 - 20 = 8	8	x \$18.00	\$144.00						
Indepe	endent	claims	4 - 3 =	1	x \$78.00	\$ 78.00						
Multipl	e dep	endent cla	nims (if applicable)		+ \$260.00	\$ 260.00						
			TO	OTAL OF ABOVE CAL	CULATIONS =	\$1,152.00						
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					SUBTOTAL =	\$1,152.00						
				English translation late riority date (37 CFR 1.		\$						
				TOTAL NA	TIONAL FEE =	\$1,152.00						
must b	oe acc			(37 CFR 1.21(h)). The ver sheet (37 CFR 3.28		\$						
				TOTAL FEES	ENCLOSED =	\$1,152.00						
						Amount to be refunded	\$					
						charged	\$					

a.	х	A check in the amount of \$1,152.00 to cover the above fees is enclosed.							
b.		Please charge my Deposit Account No. 03-209	in the amount of \$ [**.**] to cover the above fees.						
C.	Х	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 03-2095. A duplicate copy of this sheet is enclosed.							
	NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.								
Kristina Clark & 176 Fed	Bieker Elbing deral St		Signature Bruly						
		7-428-0200 -428-7045	Kristina Bieker-Brady, Ph.D. Reg No. 39,109						

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Robert N. McBurney et al.

Art Unit:

Not yet Assigned

Serial No.:

Not yet Assigned

Examiner:

Not yet Assigned

Based on PCT/US98/21349

Filed:

08 October 1998

Title:

THERAPEUTIC METHODS COMPRISING USE OF A

NEUREGULIN

Assistant Commissioner of Patents Washington, D.C. 20231

PRELIMINARY AMENDMENT

Prior to examination, Applicants submit the following amendment.

In the claims

Cancel claims 7, 9, 12-21, 24-26, and 28-34. Amend claims 8, 10, 11, 22, 23, 27, 35, and 36 as follows.

8. (Once Amended) [A] The method of [claim 7] claims 1, 2, 3, or 4, wherein the neuregulin or fragment or derivative thereof comprises an amino acid sequence of the following formula:

WYBAZCX

And the state of t

wherein WYBAZCX is composed of amino acid sequences that include one or more sequences shown in FIGS. 1 through 15 (which includes SEQ ID NOS:2, 4, 5, 8, 9, 12, 14, 15, 18, 19, 22, 23, 26, 27, 30, 33, 35, 38, 39, 41, 44, 45 and 48), wherein W comprises the polypeptide segment F, or is absent; wherein Y comprises the polypeptide segment E, or is absent; wherein Z comprises the polypeptide segment G or is absent; and wherein X comprise a polypeptide segment selected from the group consisting of C/D HKL, C/D H, C/D HL, C/D D, C/D' HL, C/D' HKL, C/D C/D' HKL, C/D C/D' HKL, C/D C/D' HKL, C/D D' HKL, C/D D' HKL, C/D D' HKL, C/D D' HKL, C/D' D' HKL, And preferably that either

- a) at least one of F, Y, B, A, Z, C or X is of bovine origin; or
- b) Y comprises the polypeptide segment E; or
- c) X comprises the polypeptide segments C/D HKL, C/D D, C/D' HKL, C/D C/D' HKL, C/D D' HKL, C/D D' HKL, C/D D' HKL, C/D D' HKL, C/D' D' HKL, C/D' D' HKL, C/D C/D' D' HKL, C/D C/D' D' HKL, C/D C/D' HKL, C/D C/D' HKL, C/D C/D' HL.
- 10. (Once Amended) The method of [claim 7] claims 1, 2, 3, or 4, wherein the neuregulin or fragment or derivative thereof comprises FBA polypeptide segments, FEBA polypeptides segments, EBA polypeptide segments or FEBA' polypeptide segments.
 - 11. (Once Amended) The method of [claim 7] claims 1, 2, 3, or 4, wherein the

neuregulin is encoded by a nucleic acid that [comprises one of] is selected from the group consisting of SEQ ID NOS: 49, 51 and 53.

- 22. (Once Amended) The method of [claim 7] claims 1, 2, 3, or 4, wherein the neuregulin or fragment or derivative comprises a sequence that is shown in Figures 7, 8 or 9.
- 23. (Once Amended) [A] The method of any one of claims [1-6] 1-4, wherein a nucleic acid encoding a neuregulin or a fragment or derivative thereof is administered to the mammal.
- 27. (Once Amended) The method of [claim 25] claims 1, 2, 3, or 4, wherein the neuregulin or neuregulin fragment or derivative comprises FBA polypeptide segments, FEBA polypeptides segments, EBA polypeptide segments or FEBA' polypeptide segments.
- 35. (Once Amended) [A] The method of any one of claims [1-34] 1-4, wherein the administered neuregulin or fragment or derivative[, or the administered nucleic acid encodes a neuregulin fragment or derivative] exhibits at least about a 10% reduction in infarct volume in an *in vivo* cerebral ischemia assay.

of the control of the

36. (Once Amended) [A] <u>The</u> method of any one of claims [1-34] <u>1-4</u>, wherein the mammal is a human.

REMARKS

No new matter has been introduced by the above amendments. If there are any charges, or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: ////// // /////

Kristina Bieker-Brady, Ph.D.

Reg. No. 39,109

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THERAPEUTIC METHODS COMPRISING USE OF A NEUREGULIN

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates to methods for treatment of certain neurologicalrelated injuries and disorders comprising use of a neuregulin, or a fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or neuregulin fragment or derivative.

2. Background

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Nerve cell death (degeneration) can cause potentially devastating and irreversible effects for an individual and may occur e.g. as a result of stroke, heart attack or other brain or spinal chord ischemia or trauma. Additionally, neurodegenerative disorders involve nerve cell death (degeneration) such as Alzheimer's disease, Parkinson's disease, Huntington's disease, Amyotrophic Lateral Sclerosis, Down's Syndrome and Korsakoff's disease.

Therapies have been investigated to treat nerve cell degeneration and related disorders, e.g., by limiting the extent of nerve cell death that may otherwise occur to an individual as well as promoting repair, remodeling and reprogramming after stroke or other neuronal injury. See, e.g., F. Seil, *Curr Opin Neuro*, 10:49-51 (1997); N. L. Reddy et al., *J Med Chem*, 37:260-267 (1994); and WO 95/20950.

Certain growth factors have been reported to exhibit neuroprotective properties. In particular, nerve growth factor (NGF) has been evaluated in certain neuroprotective models. See, for example, G. Sinson et al., *J Neurosurg*, 86(3):511-518 (1997); and G. Sinson et al., *J Neurochem*, 65(5):2209-2216 (1995). Osteogenic protein-1 (OP-1) has been evaluated in a rat model of cerebral hypoxia/ischemia for neuroprotective activity. G. Perides, *Neurosci Lett*, 1871):21-24 (1995). Glial cell line-derived neurotrophic factor (GDNF) was reported to exhibit trophic activity on certain populations of central neurons. Y. Wang et al., *J Neurosci*, 17(11):4341-4348 (1997). Small molecules also have been investigated as neuroprotective agents, such

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as MK-801. See B. Meldrum, Cereb Brain Metab Rev, 2:27-57 (1990); D. Choi, Cereb Brain Metab Rev, 2:27-57 (1990).

However, no effective pharmacotherapies are in regular clinical use for ischemia-induced brain injury or other such injuries and disorders. See, for example, Y. Wang et al., *supra*; G. Sinson et al., *J Neurochem*, *J Neurochem*, 65(5):2209 (1995).

It thus would be highly desirable to have new neuroprotective agents,
particularly agents to limit the extent or otherwise treat nerve cell death (degeneration)
that occur with stroke, heart attack or brain or spinal cord trauma, or to treat

Alzheimer's disease, Parkinson's disease, Huntington's disease, Amyotrophic Lateral
Sclerosis, Down's Syndrome and Korsakoff's disease. It also would be desirable to
have agents that promote repair, remodeling or reprogramming after stroke or other
neuronal injury.

SUMMARY OF THE INVENTION

The present invention provides methods for treatment and/or prophylaxis of certain neurological-related disorders, particularly treatment or prophylaxis of the effects of stroke, brain or spinal cord injury or ischemia, heart attack, optic nerve and retinal injury and ischemia and other acute-type conditions disclosed herein as well as chronic-type conditions, specifically epilepsy, Alzheimer's disease, Parkinson's disease, Huntington's disease, Amyotrophic Lateral Sclerosis, Down's Syndrome, Korsakoff's disease, cerebral palsy and/or age-dependent dementia. Methods of the invention also include therapies for promoting repair, remodeling or reprogramming after stroke or other neuronal injury.

The methods of the invention comprise administration of an effective amount of neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a neuregulin fragment or derivative (i.e. gene therapy), to a patient suffering from or susceptible to such conditions.

Neuregulins are members of the epidermal growth factor (EGF) superfamily and include glial growth factor (GGF), acetylcholine receptor-inducing activity (ARIA), neu differentiation factor (NDF) and heregulins (HRF). See D. E. Wen et al., Cell, 69:559-572 (1992); W.E. Holmes et al., Science, 256:1205-1210 (1992); M.A. Marchionni et al., Nature, 362:312-318 (1993); and D.L. Falls, Cell, 72:801-815

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(1993). A variety of neuregulins and fragments and derivatives thereof can be employed in the methods of the invention. For example, suitable agents have been disclosed in U.S. Patent 5,530,109 and PCT/US93/07491. Neuregulins also have been reported in U.S. Patent 5,367,060. Preferred neuregulins include regions shown in FIGS. 1-2 (SEQ ID NOS. 2 and 4), also known as the E sequence. Preferred neuregulins or fragments or derivatives also include those that contain the C, C/D or C/D' sequences as shown in Figures 7, 8 and 9 respectively of the drawings, or those neuregulins or fragments or derivatives that have substantial homology to the peptide sequences shown in Figures 7, 8 or 9, e.g. at least about 70 percent homology, or at least about 80 percent homology, or more preferably at least about 90 or 95 percent homology to the peptide sequences shown in Figures 7, 8 or 9. Preferred nucleic acids and fragments and derivatives for use in the methods of the invention include those nucleic acids that include one or more nucleic acids sequences shown in Figures 7, 8 and 9 of the drawings, or those nucleic acids that that have substantial homology to the nucleic acid sequences shown in Figures 7, 8 or 9, e.g. at least about 70, 80, 90 or 95 percent homology to the nucleic acid sequences shown in Figures 7, 8 or 9. A particularly preferred neuregulin is encoded by DNA obtainable from the clone pGGF2HBS11 (ATCC Deposit No. 75347). Also preferred are neuregulins encoded by DNA obtainable from GGF2BPP5, GGF2BPP2 and GGF2BPP4.

Typical patients that may be treated in accordance with the methods of the invention are persons suffering from brain or spinal cord trauma or ischemia, stroke, heart attack, hypoxia, hypoglycemia, post-surgical neurological deficits, decreased blood flow or nutrient supply to retinal tissue or optic nerve, retinal trauma or ischemia or optic nerve injury. Patients suffering from chronic-type conditions also may be treated in accordance with the invention, specifically subjects suffering from or susceptible to epilepsy, Parkinson's disease, Huntington's disease, Amyotrophic Lateral Sclerosis, Alzheimer's disease, Down's Syndrome, Korsakoff's disease, cerebral palsy and/or age-dependent dementia.

Also, as discussed above, a neuregulin or fragment or derivative thereof or nucleic acid encoding same, may be administered to promote repair, remodeling or reprogramming to a subject that has suffered stroke or other neuronal injury such as traumatic brain or spinal cord injury. In such cases, the therapeutic agent may be

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suitably administered to the subject over an extended period following the injury, e.g. at least about 1, 2, 3, 4, 6, 8, 12 or 16 weeks following the injury.

Other aspects of the invention are disclosed infra.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows a nucleotide sequence (SEQ ID NO:1) encoding a preferred neuregulin region (E segment of human GGF) and the amino acid sequence (SEQ ID NO:2) of that preferred region.

FIG. 2 shows a nucleotide sequence (SEQ ID NO:3) encoding a preferred neuregulin region (E segment of bovine GGF) and the amino acid sequence (SEQ ID NO:4) of that preferred region.

FIG. 3 shows nucleotide sequences (SEQ ID NOS:6-7) encoding further neuregulin regions (B segment of human and bovine GGF) and amino acid sequences (SEQ ID NOS:5 and 8) of those regions. Line 1 is the predicted amino acid sequence of bovine B segment, line 2 is a nucleotide sequence of bovine B segment, line 3 is a nucleotide sequence of human B segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human B segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 4 shows nucleotide sequences (SEQ ID NOS:10-11) encoding further neuregulin regions (A segment of human and bovine GGF) and amino acid sequences (SEQ ID NOS:9 and 12) of those regions. Line 1 is the predicted amino acid sequence of bovine A segment, line 2 is a nucleotide sequence of bovine A segment, line 3 is a nucleotide sequence of human A segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human A segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 5 shows a nucleotide sequence (SEQ ID NO:13) encoding a further neuregulin region (A' segment of bovine GGF) and the predicted amino acid sequence (SEQ ID NO:14) of that region.

FIG. 6 shows nucleotide sequences (SEQ ID NOS:16-17) encoding further neuregulin regions (G segment of bovine and human GGF) and amino acid sequences (SEQ ID NOS:15 and 18) of that region. Line 1 is the predicted amino acid sequence of bovine G segment, line 2 is a nucleotide sequence of bovine G segment, line 3 is a

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nucleotide sequence of human G segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human G segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 7 shows nucleotide sequences (SEQ ID NOS:20-21) encoding further neuregulin regions (C segment of bovine and human GGF) and amino acid sequences (SEQ ID NOS:19 and 22) of those regions. Line 1 is the predicted amino acid sequence of bovine C segment, line 2 is a nucleotide sequence of bovine C segment, line 3 is a nucleotide sequence of human C segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human C segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 8 shows nucleotide sequences (SEQ ID NOS:24-25) encoding further neuregulin regions (C/D segment of human and bovine GGF) and amino acid sequences (SEQ ID NOS:23 and 26) of those regions. Line 1 is the predicted amino acid sequence of bovine C/D segment, line 2 is a nucleotide sequence of bovine C/D segment, line 3 is a nucleotide sequence of human C/D segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human C/D segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 9 shows nucleotide sequences (SEQ ID NOS:28-29) encoding a further neuregulin region (C/D' segment of the human and bovine GGF) and the amino acid sequence (SEQ ID NO:27) of that region. Line 1 is the predicted amino acid sequence of the C/D' segment, line 2 is a nucleotide sequence of bovine C/D' segment and line 3 is a nucleotide sequence of human C/D' segment (nucleotide base matches are indicated with a vertical line).

FIG. 10 shows nucleotide sequences (SEQ ID NOS:31-32) encoding a further neuregulin region (D segment of the human and bovine GGF) and the amino acid sequence (SEQ ID NO:30) of that region. Line 1 is the predicted amino acid sequence of the D segment, line 2 is a nucleotide sequence of bovine D segment and line 3 is a nucleotide sequence of human D segment (nucleotide base matches are indicated with a vertical line).

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FIG. 11 shows nucleotide sequence (SEQ ID NO:34) encoding a further neuregulin region (D' segment of bovine GGF) and the amino acid sequence (SEQ ID NO:33) of that region.

FIGS. 12A-12B show nucleotide sequences (SEQ ID NOS:36-37) encoding further neuregulin regions (H segment of human and bovine GGF) and amino acid sequences (SEQ ID NO:35 and 38) of that region. Line 1 is the predicted amino acid sequence of bovine H segment, line 2 is a nucleotide sequence of bovine H segment, line 3 is a nucleotide sequence of human H segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human H segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 13 shows a nucleotide sequence (SEQ ID NO:40) encoding a further neuregulin region (K segment of bovine GGF) and the amino acid sequence (SEQ ID NO:39) of that region.

FIGS. 14A-14C show nucleotide sequences (SEQ ID NOS:42-43) encoding a further neuregulin region (L segment of bovine and human GGF) and amino acid sequences (SEQ ID NO:41 and 44) of that region. Line 1 is the predicted amino acid sequence of bovine L segment, line 2 is a nucleotide sequence of bovine L segment, line 3 is a nucleotide sequence of human L segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human L segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIG. 15 shows nucleotide sequences (SEQ ID NOS:46-47) encoding further neuregulin regions (F segment of bovine and human GGF) and amino acid sequences (SEQ ID NOS:45 and 48) of that region. Line 1 is the predicted amino acid sequence of bovine F segment, line 2 is a nucleotide sequence of bovine F segment, line 3 is a nucleotide sequence of human F segment (nucleotide base matches are indicated with a vertical line), and line 4 is the predicted amino acid sequence of human F segment shown where it differs from the bovine sequence set forth in line 1 of the figure.

FIGS. 16A-16C show the nucleotide sequence (SEQ ID NO:49) and deduced amino acid sequence (SEQ ID NO:50) of GGF2BPP4.

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FIGS. 17A-17B show the nucleotide sequence (SEQ ID NO:51) and deduced amino acid sequence (SEQ ID NO:52) of GGF2BPP2.

FIGS. 18A-18B show the nucleotide sequence (SEQ ID NO:53) and deduced amino acid sequence (SEQ ID NO:54) of GGF2BPP5.

5 DETAILED DESCRIPTION OF THE INVENTION

As discussed above, preferred neuregulins for use in the therapeutic methods of the present invention include those disclosed in U.S. Patent 5,530,109 and PCT/US93/07491, incorporated herein by reference. Particularly preferred neuregulins comprise an amino acid sequence of the following formula:

WYBAZCX

wherein WYBAZCX is composed of amino acid sequences that include one or more sequences shown in FIGS. 1 through 15 (which includes SEQ ID NOS:2, 4, 5, 8, 9, 12, 14, 15, 18, 19, 22, 23, 26, 27, 30, 33, 35, 38, 39, 41, 44, 45 and 48), wherein W comprises the polypeptide segment F, or is absent; wherein Y comprises the polypeptide segment G or is absent; and wherein X comprise a polypeptide segment selected from the group consisting of C/D HKL, C/D H, C/D HL, C/D D, C/D' HL, C/D' HKL, C/D' H, C/D' D, C/D C/D' HKL, C/D C/D' H, C/D C/D' HL, C/D D' HKL, C/D D' HL, C/D D' HKL, C/D D' HKL, C/D D' HKL, C/D C/D' D' HKL, And preferably that either

- a) at least one of F, Y, B, A, Z, C or X is of bovine origin; or
- b) Y comprises the polypeptide segment E; or
- c) X comprises the polypeptide segments C/D HKL, C/D D, C/D' HKL,
 C/D C/D' HKL, C/D C/D' D, C/D D' H, C/D D' HL, C/D D' HKL, C/D' D' H, C/D'
 25 D' HKL, C/D C/D' D'H, C/D C/D' D HL, C/D C/D' D' HKL, C/D'H, C/D C/D' H or
 C/D C/D' HL.

Particularly preferred neuregulins also include those polypeptides that include the segments FB polypeptides that include the segments FBA' (i.e. the groups F, B and A' as defined herein including in the drawings); polypeptides that include the segments EBA (i.e. the groups E, B and A as defined herein including in the drawings); polypeptides that include the segments EBA' (i.e. the groups E, B and A' as defined herein including in the drawings); A (i.e. the group A as defined herein

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including in the drawings); polypeptides that include the segments FEBA (i.e. the groups F, E, B and A as defined herein including in the drawings); polypeptides that include the segments FBA' (i.e. the groups F, B and A' as defined herein including in the drawings); and polypeptides that include the segments FEBA' (i.e. the groups F, E, B and A' as defined herein including in the drawings).

Also preferred are nucleic acids that code for the above preferred polypeptides.

A "fragment" or "derivative" of a neuregulin refers to herein 1) a peptide in which one or more amino acid residues are with a conserved or non-conserved amino acid residue (preferably a conserved amino acid residue) and such substituted amino acid residue may or may not be one encoded by the genetic code, or (ii) a peptide in which one or more of the amino acid residues includes a substituent group, or (iii) a peptide in which the mature protein is fused with another compound, such as a compound to increase the half-life of the polypeptide (for example, polyethylene glycol). Thus, a fragment or derivative for use in accordance with the methods of the invention includes a proprotein, which can be activated by cleavage of the proprotein portion to produce an active mature polypeptide.

The polypeptide fragments and derivatives of the invention are of a sufficient length to uniquely identify a region of a neuregulin. Neuregulin fragments thus preferably comprise at least 8 amino acids, usually at least about 12 amino acids, more usually at least about 15 amino acids, still more typically at least about 30 amino acids, even more typically at least about 50 or 70 amino acids. Preferred fragments or derivatives for use in the methods of the invention include those that have at least about 70 percent homology (sequence identity) to any of the preferred sequences mentioned above, more preferably about 80 percent or more homology to any of the preferred sequences mentioned above, still more preferably about 85 to 90 percent or more homology to any of the preferred sequences mentioned above. Sequence identity or homology with respect to a neuregulin as referred to herein is the percentage of amino acid sequences of a neuregulin protein or fragment or derivative thereof that are identical with a specified sequence, after introducing any gaps necessary to achieve the maximum percent homology.

The neuregulin fragments and derivatives for use in the methods of the invention preferably exhibit good activity in standard neuroprotective assays such as

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the *in vivo* cerebral ischemia assay of Example 1, which follows. That assay includes the following steps: a) continuous intraventricular infusion of the protein fragment or derivative or vehicle alone to test rats for three days prior to inducing focal ischemic infarcts in right lateral cerebral cortex; and b) twenty-four hours after inducing ischemic infarcts, infarct volume in each test animal is determined by image analysis. Preferably, a protein fragment or derivative of the invention provides at least about a 10% reduction in infarct volume relative to vehicle-treated animals, more preferably about a 20% reduction in infarct volume, still more preferably about a 25% reduction in infarct volume relative to vehicle-treated animals in such an assay. References herein to *in vivo* cerebral ischemia assay are intended to refer to an assay of the above steps a) and b), which are more fully described in Example 1 which follows.

As discussed above, neuregulin nucleic acid fragments and derivatives are also provided for use in the methods of the invention. Those fragments and derivatives typically are of a length sufficient to bind to a sequence of any of the nucleic acid sequences shown in Figures 1-15 of the drawings, including SEQ ID NOS:1, 3, 6, 7, 10, 11, 13, 16, 17, 20, 21, 24, 25, 28, 29, 31, 32, 34, 36, 37, 40, 42 and 43 under the following moderately stringent conditions (referred to herein as "normal stringency" conditions): use of a hybridization buffer comprising 20% formamide in 0.8M saline/0.08M sodium citrate (SSC) buffer at a temperature of 37°C and remaining bound when subject to washing once with that SSC buffer at 37°C.

Preferred neuregulin nucleic acid fragments and derivatives of the invention will bind to a sequence of any of the nucleic acid sequences shown in Figures 1-15 of the drawings, including SEQ ID NOS:1, 3, 6, 7, 10, 11, 13, 16, 17, 20, 21, 24, 25, 28, 29, 31, 32, 34, 36, 37, 40, 42 and 43 under the following highly stringent conditions (referred to herein as "high stringency" conditions): use of a hybridization buffer comprising 20% formamide in 0.9M saline/0.09M sodium citrate (SSC) buffer at a temperature of 42°C and remaining bound when subject to washing twice with that SSC buffer at 42°C.

The neuregulin nucleic acid fragments and derivatives preferably should comprise at least 20 base pairs, more preferably at least about 50 base pairs, and still more preferably a nucleic acid fragment or derivative of the invention comprises at least about 100, 200, 300 or 400 base pairs. In some preferred embodiments, the

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nucleic acid fragment or derivative is bound to some moiety which permits ready identification such as a radionucleotide, fluorescent or other chemical identifier.

Isolated neuregulin and peptide fragments or derivatives of the invention are preferably produced by recombinant methods, although suitable neuregulins also can be isolated from various sources. See the procedures disclosed U.S. Patent 5,530,109; U.S. Patent 5,367,060; and PCT/US93/07491, incorporated herein by reference. A wide variety of molecular and biochemical methods are available for generating and expressing neuregulin; see e.g. the procedures disclosed in Molecular Cloning, A Laboratory Manual (2nd Ed., Sambrook, Fritsch and Maniatis, Cold Spring Harbor), Current Protocols in Molecular Biology (Eds. Aufubel, Brent, Kingston, More, Feidman, Smith and Stuhl, Greene Publ. Assoc., Wiley-Interscience, NY, N.Y. 1992) or other procedures that are otherwise known in the art. For example, neuregulin or fragments or derivatives thereof may be obtained by chemical synthesis, or more preferably by expression in bacteria such as E coli and eukaryotes such as yeast, baculovirus, or mammalian cell-based expression systems, etc., depending on the size, nature and quantity of neuregulin or fragment or derivative thereof. More particularly, a recombinant DNA molecule comprising a vector and a DNA segment encoding neuregulin, or a fragment or derivative thereof, can be constructed. Suitable vectors include e.g. baculovirus-derived vectors for expression in insect cells (see Pennock et al., Mol. Cell. Biol., 4:399-406 (1984)), T7-based expression vector for expression in bacteria (see Rosenberg et al., Gene, 56:125-135 (1987)) and the pMSXND expression vector for expression in mammalian cells (Lee and Nathans, J. Biol. Chem., 263:3521-3527 (1988)). The DNA segment can be present in the vector operably linked to regulatory elements, e.g., a promoter (e.g., polyhedron, T7 or metallothionein (Mt-I) promoters), or a leader sequence to provide for secretory expression of the polypeptide. The recombinant DNA molecule containing the DNA coding for a neuregulin or a fragment or derivative thereof can be introduced into appropriate host cells by known methods. Suitable host cells include e.g. prokaryotes such as E. coli, Bacillus subtilus, etc., and eukaryote such as animal cells and yeast strains, e.g., S. cerevisiae. Mammalian cells may be preferred such as J558, NSO, SP2-O or CHO. In general, conventional culturing conditions can be employed. See Sambrook, supra. Stable transformed or transfected cell lines can then be selected.

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The expressed neuregulin or fragment or derivative thereof then can be isolated and purified by known methods. Typically the culture medium is centrifuged and the supernatant purified by affinity or immunoaffinity chromatography, e.g. Protein-A or Protein-G affinity chromatography or an immunoaffinity protocol comprising use of monoclonal antibodies that bind neuregulins.

Neuregulin nucleic acids used in the methods of the invention are typically isolated, meaning the nucleic acids comprise a sequence joined to a nucleotide other than that which it is joined to on a natural chromosome and usually constitute at least about 0.5%, preferably at least about 2%, and more preferably at least about 5% by weight of total nucleic acid present in a given fraction. A partially pure nucleic acid constitutes at least about 10%, preferably at least about 30%, and more preferably at least about 60% by weight of total nucleic acid present in a given fraction. A pure nucleic acid constitutes at least about 80%, preferably at least about 90%, and more preferably at least about 95% by weight of total nucleic acid present in a given fraction.

As discussed above, the present invention includes methods for treating and preventing certain neurological-related injuries and disorders, comprising the administration of an effective amount of a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, to a subject including a mammal, particularly a human, in need of such treatment.

In particular, the invention provides methods for treatment and/or prophylaxis of nerve cell death (degeneration) resulting from hypoxia, hypoglycemia, brain or spinal cord ischemia, brain or spinal cord trauma, stroke, heart attack or drowning. Typical candidates for treatment include e.g. heart attack, stroke and/or persons suffering from cardiac arrest neurological deficits, brain or spinal cord injury patients, patients undergoing major surgery such as heart surgery where brain ischemia is a potential complication and patients such as divers suffering from decompression sickness due to gas emboli in the blood stream. Candidates for treatment also will include those patients undergoing a surgical procedure involving extra-corporal circulation such as e.g. a bypass procedure.

The invention also provides methods for treatment which comprise administration of a neuregulin or fragment or derivative thereof, or nucleic acid

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encoding same, to a patient that is undergoing surgery or other procedure where brain or spinal cord ischemia is a potential risk. For example, carotid endarterectomy is a surgical procedure employed to correct atherosclerosis of the carotid arteries. Major risks associated with the procedure include intraoperative embolization and the danger of hypertension in the brain following increased cerebral blood flow, which may result in aneurysm or hemorrhage. Thus, an effective amount of a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, could be administered pre-operatively or peri-operatively to reduce such risks associated with carotid endarterectomy, or other post-surgical neurological deficits.

The invention also is effective to promote and enhance recovery from acute nerve cell death and neurological conditions. Thus, for example, a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, could be administered to promote repair, remodeling or reprogramming to a patient that has suffered from stroke or other neuronal injury, suitably for an extended period as discussed above. A therapeutic agent of the invention also could be administered post-operatively to promote recovery from any neurological deficits that may have occurred to a patient that has undergone surgery.

The invention further includes methods for prophylaxis against neurological deficits resulting from e.g. coronary artery bypass graft surgery and aortic valve replacement surgery, or other procedure involving extra-corporal circulation. Those methods will comprise administering to a patient undergoing such surgical procedures an effective amount of a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, typically either pre-operatively or peri-operatively.

The invention also provides methods for prophylaxis and treatment against neurological injury for patients undergoing myocardial infarction, a procedure that can result in ischemic insult to the patient. Such methods will comprise administering to a patient undergoing such surgical procedure an effective amount of a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, typically either preoperatively or peri-operatively.

Also provided are methods for treating or preventing neuropathic pain such as may be experienced by cancer patients, persons having diabetes, amputees and other persons who may experience neuropathic pain. These methods for treatment comprise

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administration of an effective amount of a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, to a patient in need of such treatment.

The invention also provides methods for treatment and prophylaxis against retinal ischemia or degeneration and resulting visual loss. For example, a neuregulin or fragment or derivative thereof, can be administered parenterally or by other procedure as described herein to a subject a suffering from or susceptible to ischemic insult that may adversely affect retinal function, e.g., significantly elevated intraocular pressures, diseases such as retinal artery or vein occlusion, diabetes or other ischemic ocular-related diseases. Post-ischemic administration also may limit retinal damage. The invention also includes methods for treating and prophylaxis against decreased blood flow or nutrient supply to retinal tissue or optic nerve, or treatment or prophylaxis against retinal trauma or optic nerve injury. Subjects for treatment according to such therapeutic methods of the invention may be suffering or susceptible to retinal ischemia that is associated with atherosclerosis, venous capillary insufficiency, obstructive arterial or venous retinopathies, senile macular degeneration, cystoid macular edema or glaucoma, or the retinal ischemia may be associated with a tumor or injury to the mammal. Intravitreal injection also may be a preferred administration route to provide more direct treatment to the ischemic retina.

The invention further provides a method of treating Korsakoff's disease, a chronic alcoholism-induced condition, comprising administering to a subject including a mammal, particularly a human, an effective amount of a neuregulin or fragment or derivative thereof, in an amount effective to treat the disease.

Compounds of the invention are anticipated to have utility for the attenuation of cell loss, hemorrhages and/or amino acid changes associated with Korsakoff's disease.

The invention further includes methods for treating a person suffering from or susceptible to epilepsy, emesis, narcotic withdrawal symptoms and age-dependent dementia, comprising administering to a subject including a mammal, particularly a human, an effective amount of a neuregulin or fragment or derivative thereof, in an amount effective to treat the condition.

It will be appreciated that in some instances a neuregulin or a fragment or derivative thereof will be preferably administered to a subject rather than a neuregulin nucleic acid, particularly where a patient is suffering from or susceptible to an acute

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neurological injury that demands immediate therapy. For example, administration of a neuregulin polypeptide may be preferred to a patient suffering from stroke, heart attack, traumatic brain injury and the like where it is desired to deliver the active therapeutic as quickly as possible.

In the therapeutic methods of the invention, neuregulin peptides and nucleic acids may be suitably administered to a subject such as a mammal, particularly a human, by any of a number of routes including parenteral (including subcutaneous, intramuscular, intravenous and intradermal), oral, rectal, nasal, vaginal and optical (including buccal and sublingual) administration. A neuregulin protein or nucleic acid or fragment or derivative thereof may be administered to a subject alone or as part of a pharmaceutical composition, comprising the peptide or nucleic acid together with one or more acceptable carriers and optionally other therapeutic ingredients. The carriers should be "acceptable" in the sense of being compatible with the other ingredients of the formulation and not deleterious to the recipient thereof.

Nucleic acids encoding a neuregulin or a neuregulin fragment or derivative can be administered to a patient by generally known gene therapy procedures. See, for example, WO 90/11092 and WO 93/00051. Thus, for instance, the nucleic acids may be introduced into target cells by any method which will result in the uptake and expression of the nucleic acid by the target cells. These methods can include vectors, liposomes, naked DNA, adjuvant-assisted DNA, catheters, etc. Preferably, the administered nucleic acid codes for an appropriate secretory sequence to promote expression upon administration. Suitable vectors for administering a nucleic acid in accordance with the invention include chemical conjugates such as described in WO 93/04701, which has targeting moiety (e.g. a ligand to a cellular surface receptor), and a nucleic acid binding moiety (e.g. polylysine), viral vector (e.g. a DNA or RNA viral vector), fusion proteins such as described in PCT/US 95/02140 (WO 95/22618) which is a fusion protein containing a target moiety (e.g. a protamine), plasmids, phage, etc. The vectors can be chromosomal, non-chromosomal or synthetic.

Preferred vectors include viral vectors, fusion proteins and chemical conjugates. Retroviral vectors include moloney murine leukemia viruses. DNA viral vectors are preferred. These vectors include pox vectors such as orthopox or avipox

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vectors, herpes virus vectors such as a herpes simplex I virus (HSV) vector [A.I. Geller et al., J. Neurochem, 64:487 (1995); F. Lim et al., in DNA Cloning:

Mammalian Systems, D. Glover, Ed. (Oxford Univ. Press, Oxford England) (1995);

A.I. Geller et al., Proc Natl. Acad. Sci. U.S.A.:90 7603 (1993); A.I. Geller et al., Proc

Natl. Acad. Sci USA, 87:1149 (1990)], Adenovirus Vectors [LeGal LaSalle et al., Science, 259:988 (1993); Davidson, et al., Nat. Genet., 3:219 (1993); Yang et al., J. Virol., 69:2004 (1995)] and Adeno-associated Virus Vectors [Kaplitt, M.G., et al., Nat. Genet., 8:148 (1994)].

Pox viral vectors introduce the gene into the cell cytoplasm. Avipox virus vectors result in only a short-term expression of the nucleic acid. Adenovirus vectors, adeno-associated virus vectors and herpes simplex virus (HSV) vectors are preferred for introducing the nucleic acid into neural cells. The adenovirus vector results in a shorter term expression (about 2 months) than adeno-associated virus (about 4 months), which in turn is shorter than HSV vectors. The particular vector chosen will depend upon the target cell and the specific condition being treated. The introduction can be by standard techniques, e.g. infection, transfection, transduction or transformation. Examples of modes of gene transfer include e.g., naked DNA, $Ca_3(PO_4)_2$ precipitation, DEAE dextran, electroporation, protoplast fusion, lipofecton, cell microinjection, and viral vectors.

A vector can be employed to target essentially any desired target cell. For example, stereotaxic injection can be used to direct the vectors (e.g. adenovirus, HSV) to a desired location. Additionally, the particles can be delivered by intracerebroventricular (icv) infusion using a minipump infusion system, such as a SynchroMed Infusion System. A method based on bulk flow, termed convection, has also proven effective at delivering large molecules to extended areas of the brain and may be useful in delivering the vector to the target cell (Bobo et al., *Proc. Natl. Acad. Sci. USA*, 91:2076-2080 (1994); Morrison et al., *Am. J. Physiol.*, 266:292-305 (1994)). Other methods that can be used include catheters, intravenous, parenteral, intraperitoneal and subcutaneous injection, and oral or other known routes of administration.

Parenteral formulations for administration of a neuregulin or a fragment or derivative thereof may be in the form of liquid solutions or suspensions; for oral

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administration, formulations may be in the form of tablets or capsules; and for intranasal formulations, in the form of powders, nasal drops, or aerosols.

Methods well known in the art for making formulations are found in, for example, "Remington's Pharmaceutical Sciences". Formulations for parenteral administration may, for example, contain as excipients sterile water or saline, polyalkylene glycols such as polyethylene glycol, oils of vegetable origin, or hydrogenated naphthalenes, biocompatible, biodegradable lactide polymer, or polyoxyethylene-polyoxypropylene copolymers may be used to control the release of the present factors. Other potentially useful parenteral delivery systems for a neuregulin or fragments or derivatives thereof include ethylene-vinyl acetate copolymer particles, osmotic pumps, implantable infusion systems, and liposomes. Formulations for inhalation may contain as excipients, for example, lactose, or may be aqueous solutions containing, for example, polyoxyethylene-9-lauryl ether, glycocholate and deoxycholate, or may be oily solutions for administration in the form of nasal drops, or as a gel to be applied intranasally. Formulations for parenteral administration may also include glycocholate for buccal administration, methoxysalicylate for rectal administration, or citric acid for vaginal administration.

The concentration of a neuregulin or a fragment or derivative thereof, or nucleic acid encoding such polypeptides, administered to a particular subject will vary depending upon a number of issues, including the condition being treated, the mode and site of administration, the age, weight sex and general health of the subject, and other such factors that are recognized by those skilled in the art. Optimal administration rates for a given protocol of administration can be readily determined by those skilled in the art.

All documents mentioned herein are incorporated herein by reference in their entirety. The invention is further illustrated by the following non-limiting Examples. Example 1 -- In vivo neuroprotection assay

Neuregulins and neuregulin fragments and derivatives can be assessed for neuroprotective efficacy pursuant to the following assay.

Mature male Long-Evans rats (Charles River, 250-350g) are allowed food and water *ad libitum*. Animals are anesthetized with sodium pentobarbital (60 mg/kg, i.p.) and placed in a stereotaxic head holder (David Kopf Instruments, Tujunga, CA). The

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dorsal surface of the skull is exposed by midline incision, and a small burr hole (2 mm diameter) is drilled over the right lateral ventricle, 1.6 mm lateral and 0.9 mm posterior to bregma. A stainless steel cannula (I.D. 0.020", O.D. 0.028", 2 cm long) is then inserted stereotaxically into the ventricle to a depth of 4.4 mm beneath the surface of the skull. The tubing is suitably bent at a 90° angle 1-1.6 cm from its tip and connected to polyethylene tubing (I.D. 0.76 mm, O.D. 1.22 mm, 10 cm long) that is connected (by glue) to a mini-osmotic pump (Alzet 1007D, 100 μl fill volume, pump rate = 0.5 μl/hr; Alza Corp., Palo Alto, CA) implanted subcutaneously in the back. The cannula can be suitably fixed to the skull by orthodontic resin (L.D. Culk Co., Milford, DE) bonded to two small machine screws (1/8" stainless steel slotted) inserted in the skull. The pump, tubing, and cannula are primed before insertion with infusate solutions; a 3-0 nylon suture is inserted into the cannula during implantation to prevent obstruction by brain tissue. The wound is closed with 3-0 silk suture and cefazolin (10 mg, i.m.) is administered. After surgery animals are suitably kept in individual cages and fed soft food.

Pumps are filled with vehicle alone (containing 127 mM NaCl, 2.6 mM KCl, 1.2 mM CaCl₂, 0.9 mM MgCl₂, 4.14 mM HEPES, 3 mM glycerin, 0.001% bovine serum albumin [BSA], and 0.01% fast green), or vehicle neuregulin or fragment or derivative thereof (100 μgm/ml). Heparin can be suitably used at relatively low doses, e.g. about 0.8 units/kg/day which is approximately 250-500 times less than a standard anticoagulant dose.

Three days after cannula implantation, animals are reanesthetized with 2% halothane and given atropine (0.15 mg/kg, i.p.). Animals are then intubated and connected to a ventilator (SAR-830; CWE Inc., Ardmore, PA) delivering 1% halothane/70% nitrous oxide in oxygen. The right femoral artery and vein are cannulated for monitoring of mean arterial blood pressure (MABP; Gould RS3200 Blood Pressure Monitor, Gould Inc., Valley View, OH), and blood sampling. Animals are then paralyzed with pancuronium bromide (0.5 mg/kg, i.v.). Arterial blood gasses (Corning 178 Blood Gas Analyzer, Ciba Corning Diagnostic Corp., Medford, MA), blood glucose (Accu-Check Blood Glucose Analyzer, Boehringer Mannheim, Indianapolis, IN), and hematocrit are measured at least twice during surgery and the immediate post-operative period. The stroke volume and rate of the

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ventilator are adjusted to maintain PaO₂ between 100-200 mm Hg and PaCO₂ between 30-40 mm Hg. Core body temperature may be monitored by rectal thermocouple (e.g. Model 73ATA, Yellow Springs Instrument Co., Yellow Springs, OH) and maintained between 36-37°C with a homeothermic blanket control unit (Harvard Bioscience, South Natick, MA).

Focal ischemic infarcts are made in the right lateral cerebral cortex in the territory of the middle cerebral artery (MCA) by the method of Chen, et al., *Stroke*, 17:738-743 (1986). Both common carotid arteries are exposed by midline anterior cervical incision. The animal is placed in a lateral position and a 1 cm skin incision is then made at the midpoint between the right lateral canthus and the anterior pinna. The temporal muscle is retracted, and a small (3 mm diameter) craniectomy is made at the junction of the zygoma and squamosal bone using a dental drill cooled with saline. Using a dissecting microscope, the dura can be opened with fine forceps, and the right MCA can be ligated with two 10-0 monofilament nylon ties just above the rhinal fissure and transected between the ties. Both common carotid arteries then can be occluded by microaneurysm clips for 45 minutes. After removal of the clips, return of flow is visualized in the arteries. Anesthesia is maintained for 15 minutes, and animals are returned to individual cages and fed soft food after surgery.

Twenty four hours after cerebral infarction, animals are again weighed, and then sacrificed by rapid decapitation. Brains are removed, inspected visually for the anatomy of the middle cerebral artery as well as for signs of hemorrhage or infection, immersed in cold saline for 10 minutes, and sectioned into six standard coronal slices (each 2 mm thick) using a rodent brain matrix slicer (Systems, Warren, MI). Brains are also examined visually for the presence of dye (fast green) in the cerebral ventricles. Slices are placed in the vital dye 2,3,5-triphenyl tetrazolium chloride (TTC, 2%; Chemical Dynamics Co., NH) at 37°C in the dark for 30 minutes, followed by 10% formalin at room temperature overnight. The outline of right and left cerebral hemispheres as well as that of infarcted tissue, clearly visualizable by lack of TTC staining (Chen et al., *Stroke*, 17:738-743 (1986)), is outlined on the posterior surface of each slice using an image analyzer (MTI videocamera and Sony video monitor connected to a Bioquant IV Image Analysis System run on an EVEREX computer). Infarct volume is calculated as the sum of infarcted area per slice multiplied by slice

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thickness. Both the surgeon and image analyzer operator are blinded to the treatment given each animal.

Volumes of infarcts among vehicle vs. neuregulin-treated animals can be compared by unpaired, two-tailed t-tests for each experiment, and by two-way analysis of variance (ANOVA; Exp. X Treatment) for combined data. A subsequent slice-by-slice analysis of infarct area among pooled neuregulin- vs. vehicle-treated animals is suitably done by repeated measures two-way ANOVA (Treatment X Slice). Other anatomical and physiological measurements are compared among GDF-1- vs. vehicle-treated animals by unpaired, two-tailed t-tests using the Bonferroni correction for multiple pairwise comparisons.

Example 2 -- In vivo behavioral assays

For behavioral outcome studies, such as to assess recovery, repair and remodeling promoted by administration of a neuregulin or fragment or derivative thereof, or nucleic acid encoding same, a number of assays can be employed such as those described in G. Sinson et al., *J Neurochem*, 65(5):2209-2214 (1995); T.K. McIntosh et al., *Neuroscience*, 28:233-244 (1989); and T.K. McIntosh et al., *J Neurotrauma*, 10:373-384 (1993).

Briefly, one suitable behavioral assay as described in G. Sinson et al., *supra*, entails that test animals (male Sprague-Dawley rats) receive preinjury training in a Morris Water Maze, a circular tank 1 m in diameter that is filled with 18°C water. The water surface is made opaque with a covering of Styrofoam pieces. During training of the animals a submerged platform is present in the maze. Each test animal undergoes 20 training trials over a two day period during which they learn to locate the platform using external visual cues. Immediately following the last training trial, animals are anesthetized and subjected to a lateral (parasagittal) fluid-percussion (FP) brain injury. Briefly, a 5-mm craniectomy is performed over the left parietal cortex, midway between lamda and bregma. A hollow Leur-loc fitting is cemented to the craniectomy site. The injury is delivered after attaching the FP device. The injury should be of moderate severity (2.1-2.3 atm). After injury, the Leur-loc is removed, and the skin is sutured. Normothermia is maintained with warming pads until the animals being to ambulate.

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At 72 hours, 1 week or 2 weeks after injury, animals are assessed for their ability to remember the learned task of locating the platform in the MWM. For this evaluation the platform is removed from the maze, and the animal's swimming pattern is suitably recorded with a computerized video system for 1 minute. The maze is separated in zones that are weighed according to the proximity to the platform's location. A memory score is generated by multiplying the weighted numbers by the time the animal spends in each zone and then adding the products.

Animals surviving for 1 or 2 weeks also can undergo evaluation of neurologic motor function. Briefly, one suitable assay provides that animals are scored from 0 (severely impaired) to 4 (normal) for each of the following: (1) left and (2) right forelimb during suspension by the tail; (3) left and (4) right hindlimb flexion when the forelimbs remain on a surface and the hindlimbs are lifted up and back by the tail; the ability to resist lateral pulsion to the (5) left and (6) right; and the ability to stand on an inclined plane in the (7) left, (8) right, and (9) vertical positions. Scores are combined for each of the tests (1) through (9). The observer for the tests should be blinded to the animal's previous treatment.

The invention has been described in detail with reference to preferred embodiments thereof. However, it will be appreciated that those skilled in the art, upon consideration of this disclosure, may make modifications and improvements within the spirit and scope of the invention.

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What is claimed is:

1. A method of treating a mammal suffering from or susceptible to stroke, brain or spinal cord injury or ischemia, or heart attack, comprising administering to the mammal a therapeutically effective amount of a neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a fragment or derivative of a neuregulin.

- 2. A method of treating a mammal suffering from or susceptible to optic nerve injury or retinal injury or ischemia, comprising administering to the mammal a therapeutically effective amount of a neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a fragment or derivative of a neuregulin.
- 3. A method of treating a mammal suffering from or susceptible to effects of post-surgical neurological deficits, hypoxia or hypoglycemia, comprising administering to the mammal a therapeutically effective amount of a neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a fragment or derivative of a neuregulin.
- 4. A method of treating a mammal suffering from or susceptible to epilepsy, Parkinson's disease, Huntington's disease, Amyotrophic Lateral Sclerosis, Alzheimer's disease, Down's Syndrome, Korsakoff's disease, or age-dependent dementia, comprising administering to the mammal a therapeutically effective amount of a neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a fragment or derivative of a neuregulin.
- 5. The method of claim 1 wherein the neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a fragment or derivative of a neuregulin is administered after the subject has suffered a stroke, brain or spinal cord injury or ischemia, or heart attack.
- 6. The method of claim 5 wherein the neuregulin, or fragment or derivative of a neuregulin, or a nucleic acid encoding a neuregulin or a fragment or derivative of a neuregulin is administered to the subject for at least about two weeks after the subject has suffered a stroke, brain or spinal cord injury or ischemia, or heart attack.

- 7. A method of any one of claims 1-6 wherein a neuregulin or a fragment or derivative thereof is administered to the mammal.
- 8. A method of claim 7 wherein the neuregulin or fragment or derivative thereof comprises an amino acid sequence of the following formula:

WYBAZCX

wherein WYBAZCX is composed of amino acid sequences that include one or more sequences shown in FIGS. 1 through 15 (which includes SEQ ID NOS:2, 4, 5, 8, 9, 12, 14, 15, 18, 19, 22, 23, 26, 27, 30, 33, 35, 38, 39, 41, 44, 45 and 48), wherein W comprises the polypeptide segment F, or is absent; wherein Y comprises the polypeptide segment E, or is absent; wherein Z comprises the polypeptide segment G or is absent; and wherein X comprise a polypeptide segment selected from the group consisting of C/D HKL, C/D H, C/D HL, C/D D, C/D' HL, C/D' HKL, C/D' H, C/D' D, C/D C/D' HKL, C/D C/D' H, C/D C/D' HL, C/D C/D' D, C/d D'H, C/D D' HL, C/D D' HKL, C/D D' HKL, C/D D' HKL, C/D D' HKL, C/D C/D' D' HKL, And preferably that either

- a) at least one of F, Y, B, A, Z, C or X is of bovine origin; or
- b) Y comprises the polypeptide segment E; or
- c) X comprises the polypeptide segments C/D HKL, C/D D, C/D' HKL, C/D C/D' HKL, C/D C/D' D, C/D D' H, C/D D' HL, C/D D' HKL, C/D' D' H, C/D' D' HKL, C/D C/D' D' HKL, C/D C/D' D HL, C/D C/D' D' HKL, C/D C/D' H or C/D C/D' HL.
- 9. The method of claim 7 wherein the neuregulin or fragment or derivative thereof a) has at least one of F, Y, B, A, Z, C or X is of bovine origin; or b) Y comprises the polypeptide segment E; or c) X comprises the polypeptide segments C/D HKL, C/D D, C/D' HKL, C/D C/D' HKL, C/D C/D' D, C/D D H, C/D D' HL, C/D D' HKL, C/D C/D' D' HKL, C/D C/D' D HL, C/D C/D' D' HKL, C/D C/D' HKL, C/D C/D' HL.
- 10. The method of claim 7 wherein the neuregulin or fragment or derivative thereof comprises FBA polypeptide segments, FEBA polypeptides segments, EBA polypeptide segments or FEBA' polypeptide segments.

- 11. A method of claim 7 wherein the neuregulin is encoded by a nucleic acid that comprises one of SEQ ID NOS:49, 51 and 53.
- 12. A method of claim 7 wherein the neuregulin or fragment or derivative thereof is encoded by a nucleic acid that comprises a sequence that has at least about 70% sequence identity to one of SEQ ID NOS:49, 51 and 53.
- 13. A method of claim 7 wherein the neuregulin or fragment or derivative thereof is encoded by a sequence that hybridizes to one of SEQ ID NOS:49, 51 or 53 under normal stringency conditions.
- 14. A method of claim 7 wherein the neuregulin or fragment or derivative thereof is encoded by a sequence that hybridizes to one of SEQ ID NOS:49, 51 or 53 under high stringency conditions.
- 15. A method of claim 7 wherein the neuregulin or fragment or derivative has at least about 70% sequence identity to SEQ ID NOS:50, 52 or 54.
- 16. A method of claim 7 wherein the neuregulin or fragment or derivative thereof is encoded by a nucleic acid that comprises a sequence that has at least about 70% sequence identity to one of SEQ ID NO:20 (Figure 7); SEQ ID NO:21 (Figure 7); SEQ ID NO:24 (Figure 8); SEQ ID NO:25 (Figure 8); SEQ ID NO:28 (Figure 9); or SEQ ID NO:29 (Figure 9).
- 17. A method of claim 7 wherein the neuregulin or fragment or derivative thereof is encoded by a sequence that hybridizes to one of SEQ ID NO:20 (Figure 7); SEQ ID NO:21 (Figure 7); SEQ ID NO:24 (Figure 8); SEQ ID NO:25 (Figure 8); SEQ ID NO:28 (Figure 9); or SEQ ID NO:29 (Figure 9) under normal stringency conditions.
- 18. A method of claim 7 wherein the neuregulin or fragment or derivative comprises a sequence that has at least about 70% sequence identity to any of the peptide sequences shown in Figures 7, 8 or 9 of the drawings.
- 19. A method of claim 7 where the neuregulin or fragment or derivative comprises a sequence that has at least about 80 percent homology to any of the peptide sequences shown in Figures 7, 8 or 9.
- 20. A method of claim 7 where the neuregulin or fragment or derivative comprises a sequence that has at least about 90 percent homology to any of the peptide sequences shown in Figures 7, 8 or 9.

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- 21. A method of claim 7 wherein the neuregulin or fragment or derivative comprises a sequence that has at least about 95 percent homology to any of the peptide sequences shown in Figures 7, 8 or 9.
- 22. A method of claim 7 wherein the neuregulin or fragment or derivative comprises a sequence that is shown in Figures 7, 8 or 9.
- 23. A method of any one of claims 1-6 wherein a nucleic acid encoding a neuregulin or a fragment or derivative thereof is administered to the mammal.
- 24. A method of claim 23 wherein the nucleic acid is SEQ ID NO:49, 51 or 53, or the complement thereof.
- 25. A method of claim 23 wherein the nucleic or fragment or derivative thereof encodes a neuregulin or neuregulin fragment or derivative that comprises an amino acid sequence of the following formula:

WYBAZCX

wherein WYBAZCX is composed of amino acid sequences that include one or more sequences shown in FIGS. 1 through 15 (which includes SEQ ID NOS:2, 4, 5, 8, 9, 12, 14, 15, 18, 19, 22, 23, 26, 27, 30, 33, 35, 38, 39, 41, 44, 45 and 48), wherein W comprises the polypeptide segment F, or is absent, wherein Y comprises the polypeptide segment E, or is absent; wherein Z comprises the polypeptide segment G or is absent; and wherein X comprise a polypeptide segment selected from the group consisting of C/D HKL, C/D H, C/D HL, C/D D, C/D' HL, C/D' HKL, C/D' H, C/D' D, C/D C/D' HKL, C/D C/D' H, C/D C/D' HL, C/D D' HKL, C/D' D' HKL.

- 26. The method of claim 25 wherein the neuregulin or neuregulin fragment or derivative a) has at least one of F, Y, B, A, Z, C or X is of bovine origin; or b) Y comprises the polypeptide segment E; or c) X comprises the polypeptide segments C/D HKL, C/D D, C/D' HKL, C/D C/D' HKL, C/D C/D' D, C/D D H, C/D D' HL, C/D D' HKL, C/D C/D' D' HKL, C/D C/D' D HL, C/D C/D' D' HKL, C/D C/D' HKL, C/D C/D' HL.
- 27. The method of claim 25 wherein the neuregulin or neuregulin fragment or derivative comprises FBA polypeptide segments, FEBA polypeptides segments,

٠,

EBA polypeptide segments, EBA' polypeptide segments or FEBA' polypeptide segments.

- 28. A method of claim 23 wherein the nucleic acid comprises a sequence that hybridizes to SEQ ID NO:20 (Figure 7); SEQ ID NO:21 (Figure 7); SEQ ID NO:24 (Figure 8); SEQ ID NO:25 (Figure 8); SEQ ID NO:28 (Figure 9); or SEQ ID NO:29 (Figure 9) under normal stringency conditions.
- 29. A method of claim 23 wherein the nucleic acid comprises a sequence that hybridizes to SEQ ID NO:SEQ ID NO:20 (Figure 7); SEQ ID NO:21 (Figure 7); SEQ ID NO:24 (Figure 8); SEQ ID NO:25 (Figure 8); SEQ ID NO:28 (Figure 9); or SEQ ID NO:29 (Figure 9) under high stringency conditions.
- 30. A method of claim 23 wherein the nucleic acid comprises a sequence that has at least about 70 percent homology to any of the nucleic acid sequences shown in Figures 7, 8 or 9.
- 31. A method of claim 23 wherein the nucleic acid comprises a sequence that has at least about 80 percent homology to any of the nucleic acid sequences shown in Figures 7, 8 or 9.
- 32. A method of claim 23 wherein the nucleic acid comprises a sequence that has at least about 90 percent homology to any of the nucleic acid sequences shown in Figures 7, 8 or 9.
- 33. A method of claim 23 wherein the nucleic acid comprises a sequence that has at least about 95 percent homology to any of the nucleic acid sequences shown in Figures 7, 8 or 9.
- 34. A method of claim 23 wherein the nucleic acid comprises a sequence shown in Figures 7, 8 or 9.
- 35. A method of any one of claims 1-34 wherein the administered neuregulin fragment or derivative, or the administered nucleic acid encodes a neuregulin fragment or derivative exhibits at least about a 10% reduction in infarct volume in an *in vivo* cerebral ischemia assay.
 - 36. A method of any one of claims 1-35 wherein the mammal is a human.

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FIG. 1A HUMAN SEGMENT E: (SEQ ID NOS:1-2)

ATG Met 1	AGA Arg	TGG Trp	CGA Arg	CGC Arg 5	GCC Ala	CCG Pro	CGC Arg	CGC Arg	TCC Ser 10	GGG Gly	CGT Arg	CCC Pro	GGC Gly	CCC Pro 15	CGG Arg	48
GCC Ala	CAG Gln	CGC Arg	CCC Pro 20	GGC Gly	TCC Ser	GCC Ala	GCC Ala	CGC Arg 25	TCG Ser	TCG Ser	CCG Pro	CCG Pro	CTG Leu 30	CCG Pro	CTG Leu	96
CTG Leu	CCA Pro	CTA Leu 35	CTG Leu	CTG Leu	CTG Leu	CTG Leu	GGG Gly 40	ACC Thr	GCG Ala	GCC Ala	CTG Leu	GCG Ala 45	CCG Pro	GGG Gly	GCG Ala	144
GCG Ala	GCC Ala 50	GGC Gly	AAC Asn	GAG Glu	GCG Ala	GCT Ala 55	CCC Pro	GCG Ala	GGG Gly	GCC Ala	TCG Ser 60	GTG Val	TGC Cys	TAC Tyr	TCG Ser	192
TCC Ser 65	CCG Pro	CCC Pro	AGC Ser	GTG Val	GGA Gly 70	TCG Ser	GTG Val	CAG Gln	GAG Glu	CTA Leu 75	GCT Ala	CAG Gln	CGC Arg	GCC Ala	GCG Ala 80	240
GTG Val	GTG Val	ATC Ile	GAG Glu	GGA Gly 85	AAG Lys	GTG Val	CAC His	CCG Pro	CAG Gln 90	CGG Arg	CGG Arg	CAG Gln	CAG Gln	GGG Gly 95	GCA Ala	288
CTC Leu	GAC Asp	AGG Arg	AAG Lys 100	GCG Ala	GCG Ala	GCG Ala	Ala	GCG Ala 105	GGC Gly	GAG Glu	GCA Ala	Gly	GCG Ala 110	TGG Trp	GGC Gly	336
GGC Gly	GAT Asp	CGC Arg 115	GAG Glu	CCG Pro	CCA Pro	GCC Ala	GCG Ala 120	GGC Gly	CCA Pro	CGG Arg	GCG Ala	CTG Leu 125	GGG Gly	CCG Pro	CCC Pro	384
GCC Ala	GAG Glu 130	Glu	CCG Pro	CTG Leu	CTC Leu	GCC Ala 135	GCC Ala	AAC Asn	GGG Gly	ACC Thr	GTG Val 140	Pro	TCT Ser	TGG Trp	CCC Pro	432
ACC Thr 145	Ala	CCG Pro	GTG Val	CCC Pro	AGC Ser 150	Ala	GGC Gly	GAG Glu	CCC Pro	GGG Gly 155	Glu	GAG Glu	GCG Ala	CCC Pro	TAT Tyr 160	480
CTG Leu	GTG Val	AAG Lys	GTG Val	CAC His 165	Gir	GTG Val	TGG Trp	GCG Ala	GTG Val 170	Lys	GCC Ala	: GGG : Gly	GGC Gly	TTG Leu 175	AAG Lys	528
AAG Lys	GAC S Asp	TC6 Ser	G CTG Leu 180	ı Let	ACC Thr	GTG Val	CGC Arc	CT0	ı Gly	ACC Thr	: TGG Trp	GGC Gly	CAC His 190	Pro	GCC Ala	576

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FIG. 1B

TTC Phe	CCC Pro	TCC Ser 195	TGC Cys	GGG Gly	AGG Arg	CTC Leu	AAG Lys 200	GAG Glu	GAC Asp	AGC Ser	AGG Arg	TAC Tyr 205	ATC Ile	TTC Phe	TTC Phe	624
ATG Met	GAG Glu 210	CCC Pro	GAC Asp	GCC Ala	AAC Asn	AGC Ser 215	ACC Thr	AGC Ser	CGC Arg	GCG Ala	CCG Pro 220	GCC Ala	GCC Ala	TTC Phe	CGA Arg	672
GCC Ala 225	TCT Ser	TTC Phe	CCC Pro	CCT Pro	CTG Leu 230	GAG Glu	ACG Thr	GGC Gly	CGG Arg	AAC Asn 235	CTC Leu	AAG Lys	AAG Lys	GAG Glu	GTC Val 240	720
						CGG Arg	TGC Cys	G								745

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FIG.2 SEGMENT E: (SEQ ID NOS:3-4)

				TGG G Trp <i>A</i>												47
				CGC Arg 20												95
				AAG Lys												143
				AGC Ser												191
				GGG Gly												239
		CGG Arg		G												252
FIG. 3 SEGMENT B: (SEQ ID NOS:5-8)																
					ر	CON	141 :	D. (JLU	חו	MOS		<i>)</i>			
CCT	TGC	CTC	CCC	Leu GCT GAT	Lys TGA	GTu AAG	His AGA	Lys TGA	Ser AGA	Gln GTC	Glu AGG	Ser AGT	Val CTG	TGG	CAG	48
CCT CCT Ser GTT	TGC III TGC Lys CCA	CTC	CCC 111 CCC Q Val TAG	GCT	Lys TGA III TGA Arg TTC	Glu AAG AAG Cys GGT	His AGA AGA Glu GCG	Lys TGA III TGA Thr AGA I I	Ser AGA AAA Ser CCA	Gln GTC GCC Ser GTT	Glu AGG III AGG Glu CTG	Ser AGT AAT Tyr AAT	Val CTG CGG A Ser ACT	TGG CTG Ser CCT	CAG CAG Leu CTC	48 96
CCT CCT Ser GTT LIL Lys TCA	TGC Lys CCA CCA Phe AGT	CTC Leu AAC III AAC Lys	CCC Q Val TAG TAG Trp AGT	GCT GAT Leu TGC	Lys TGA TGA Arg TTC Lys TCA	Glu AAG III AAG Cys GGT III GGT ASn AGA	His AGA AGA GCG GCG GTG GTG	Lys TGA III TGA Thr AGA AAA Ser GGA	Ser AGA AAA Ser CCA Glu GTG	Gln GTC I GCC Ser GTT III GTT Leu AAT	Glu AGG AGG Glu CTG CTG Ser	Ser AGT AAT Tyr AAT AAT Arg GCC	Val CTG CGG A Ser ACT Lys GAA	Ser CCT CCT Asn AGA	CAG CAG Leu CTC Lys ACA	

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FIG 4 SEGMENT A: (SEQ ID NOS:9-12)

Lys Ser Glu Leu Arg Ile Ser Lys Ala Ser Leu Ala Asp Ser Gly G AAG TCA GAA CTT CGC ATT AGC AAA GCG TCA CTG GCT GAT TCT GGA G AAG TCA GAA CTT CGC ATT AAC AAA GCA TCA CTG GCT GAT TCT GGA N	46
Glu Tyr Met Cys Lys Val Ile Ser Lys Leu Gly Asn Asp Ser Ala Ser GAA TAT ATG TGC AAA GTG ATC AGC AAA CTA GGA AAT GAC AGT GCC TCT 	94
Ala Asn Ile Thr Ile Val Glu Ser Asn Ala GCC AAC ATC ACC ATT GTG GAG TCA AAC G 	122
FIG.5 SEGMENT A': (SEQ ID NOS:13-14)	
TCTAAAACTA CAGAGACTGT ATTTTCATGA TCATCATAGT TCTGTGAAAT ATACTTAAAC	60
CGCTTTGGTC CTGATCTTGT AGG AAG TCA GAA CTT CGC ATT AGC AAA GCG Lys Ser Glu Leu Arg Ile Ser Lys Ala 1 5	110
TCA CTG GCT GAT TCT GGA GAA TAT ATG TGC AAA GTG ATC AGC AAA CTA Ser Leu Ala Asp Ser Gly Glu Tyr Met Cys Lys Val Ile Ser Lys Leu 10 25	158
GGA AAT GAC AGT GCC TCT GCC AAC ATC ACC ATT GTG GAG TCA AAC GGT Gly Asn Asp Ser Ala Ser Ala Asn Ile Thr Ile Val Glu Ser Asn Gly 30 35 40	206
AAG AGA TGC CTA CTG CGT GCT ATT TCT CAG TCT CTA AGA GGA GTG ATC Lys Arg Cys Leu Leu Arg Ala Ile Ser Gln Ser Leu Arg Gly Val Ile 45	254
AAG GTA TGT GGT CAC ACT TGAATCACGC AGGTGTGTGA AATCTCATTG Lys Val Cys Gly His Thr 60	302
TGAACAAATA AAAATCATGA AAGGAAAACT CTATGTTTGA AATATCTTAT GGGTCCTCCT	362

GTAAAGCTCT TCACTCCATA AGGTGAAATA GACCTGAAAT ATATATAGAT TATTT

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FIG. 6 SEGMENT G: (SEQ ID NOS:15-18)

AG	ATC	ACC	ACT	GGC	ATG	CCA	GCC	TCA	ACT	GAG	Thr ACA GGA G	GCG	TAT	GTG	TCT	47
TCA	GAG	TCT	CCC	ATT	AGĀ	ATA	TCA	GTA	TCA	ACA	Glu GAA GAA	GGA	ACA	AAT	ACT	95
TCT	Ser TCA []] TCA	T											~			102

FIG. 7 SEGMENT C: (SEQ ID NOS:19-22)

Thr Ser Thr Ser Thr Ala Gly Thr Ser His Leu Val Lys Cys Ala CC ACA TCC ACA TCT ACA GCT GGG ACA AGC CAT CTT GTC AAG TGT GCA I III II III III III III III III III	47
Glu Lys Glu Lys Thr Phe Cys Val Asn Gly Gly Glu Cys Phe Met Val GAG AAG GAG AAA ACT TTC TGT GTG AAT GGA GGC GAG TGC TTC ATG GTG LII LII LII LII LII LII LII LII LII LI	95
Lys Asp Leu Ser Asn Pro Ser Arg Tyr Leu Cys AAA GAC CTT TCA AAT CCC TCA AGA TAC TTG TGC	128

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FIG. 8 SEGMENT C/D: (SEQ ID NOS:23-26)

AAG	TGC	CAA	CCT	GGĂ	TTC TTC TTC	ACT	GGĂ	GCG	AGĂ	TĞT	ACT	GAG	AAT	GTG	CCC	48
ATG	AĂA	GTC	CAA	ACC	Gln CAA CAA	GAA										69

FIG. 9 SEGMENT C/D': (SEQ ID NOS:27-29)

Lys AAG []] AAG	TGC	CCA	AAT	GAG	TTT	ACT	GGŤ	GAT	CGČ	TGC	CAA	AAC	TĂC	GTA	ATG	48
Ala GCC GCC	AGC	TTC	TĂC													60

FIG. 10 SEGMENT D: (SEQ ID NOS:30-32)

FIG. 11 SEGMENT D': (SEQ ID NOS:33-34)

Lys His Leu Gly Ile Glu Phe Met Glu AAG CAT CTT GGG ATT GAA TTT ATG GAG

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FIG. 12A SEGMENT H: (SEQ ID NOS:35-38)

Cys Ile Ala Leu Leu Val Val Gly Ile Met Cys Val Val Val Tyr Cys TGC ATC GCG CTG CTC GTG GTT GGC ATC ATG TGT GTG GTG GTC TAC TGC III III II II III III III III III III
AAA ACC AAG AAA CAA CGG AAA AAG CTT CAT GAC CGG CTT CGG CAG AGC III III III III III III III III III I
CTT CGG TCT GAA AGA AAC ACC ATG ATG AAC GTA GCC AAC GGG CCC CAC
CAC CCC AAT CCG CCC CCC GAG AAC GTG CAG CTG GTG AAT CAA TÂC GTA 11
TCT AAA AAT GTC ATC TCT AGC GAG CAT ATT GTT GAG AGA GAG GCG GAG
AGC TCT TTT TCC ACC AGT CAC TAC ACT TCG ACA GCT CAT CAT TCC ACT 336

FIG. 12B

ACT	GTC	ACT	CAG	ACT	CCC	Ser AGT AGC	CAC	AGC	TGG	AGC	AAT	GGA	CAC	ACT	GAA	384
AGC	ATC	ATT	TCG	GAA	AGC	His CAC []] CAC	TCT	GTC	ATC	GTG	ATG	TCA	TCC	GTA	GAA	432
AAÇ	AGT	AGĞ	CAC	AGC	AGC	Pro CCG 11 CCA	ACT	GGG	GGC	CCG	AGĂ	GGA	CGT	CTC	AAT	480
GGC	TTG	GGĂ	GGČ	CCT	CGT	Glu GAA GAA	TGT	AAC	AGC	TTC	CTC	AGĞ	CAT	GCC	AGĂ	528
GAA	ACC	CCT	GAC	TCC	TAC	Arg CGA []] CGA	GAC	TCT	CCT	CAT	AGT	GAA	AG			569

FIG. 13 SEGMENT K: (SEQ ID NOS:39-40)

A CA Hı						AG CT Iu Le										46
AAA Lys																94
ATT Ile	CCC Pro	CAT His	TGG Trp 35	GCT Ala	TCA Ser	TTC Phe	TCT Ser	AAG Lys 40	ACC Thr	CCT Pro	TGG Trp	CCT Pro	TTA Leu 45	GGA Gly	AG Arg	141

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FIG. 14A SEGMENT L: (SEQ ID NOS:41-44)

46	λŤ 	TA GA	CT GT	CA CC	G TO	FA TE	CT CO	CG G(CC C(CC AC	FG AC	CA AT	CA GO	A T(AT GT	G TA
94	Pro CCG [] CCA	Ser TCC []] †CT	ATG	GAA	TCG	CCT	CCC	TCA	AAG	CCC	TCC	AGC	Pro CCA CCA	ACG	CAC	TTC
142	CCC	Ser AGT AGC	GTC	GCG	ATG	TCC	CCC	ATG	TCC	GTC	ACG	ACG	AGC	TCC	GTG	Pro CCC CCC
190	CTG	Arg CGG [] AGG	CCA	CCA	ACG	GTG	CTT	CTC	CTG	CCC	AGA	GAG	GAG I I	GAA	GTG 11	TTC
238	His CAC []] CAC	Phe TTC TTC	TCG	AAC	TTC	CAA	CAG	GCC	CAC	CAC	GAC	TAT	- AAG K	AĂG	GAG	CGĞ
286	Arg AGG AGG	Leu TTG [[] TTG	CCC	AGC	CCC	CCC	CTG	AGC	AAC	AGC	GAG	CAT	Ala GCG GCG	CCC	AAC	TGC
334	GCT	Pro CCA CCA	GAA	TAC	GAG	CAG	ACC	ACG	GAA	TAT	GAA	GAG	GAT	GAG	GTG	ATA
382	AGA	Lys AAA AAA	GCC	CGG	CGG	AGC	AGC	AAC 111	ACC	CTC	AAA 111	AAG	GTT	CCG	GAG	CAA

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FIG. 14B

ACC	AAG	CCC	AAT	GGT	CAC	ATT	GCC	CAC	AGG	TTG	GAA	ATG	GAC	Asn AAC AGC S	AAC	430
ACA	GGC 	GCT 	GAC 1	AGC	AGT	AAC 	TCA	GAG	AGC	GAA	ACA	GAG	GAT []]	Glu GAA GAA	AGĂ	478
GTA 	GGA 	GAA	ASP GAT []] GAT	ACG	CCT	TTC	Leu CTG 111 CTG	GCC	ATA	CAG	AAC	Pro CCC 111 CCC	CTG 111	Ala GCA GCA	GCC	526
AGT	CTC	GAG	Ala GCG [[GCA	GCC	CCT	GCC	TTC	CGČ	CTG	GTC	GAC	AGC	AGĞ	Thr ACT III ACT	AAC	574
CCA 111 CCA	ACA	GGC	GGČ	TTC	TCT	CCG	CAG	GAA	GAA	TTG	CAG	GCC	AGĞ	Leu CTC [] CTG	Ser TCC TCT	622
GGT	GTA	ATC	Ala GCT GCT	AAC	CAA	GAC	CCT	ATC	GCT	GTC		AAC AAC	1 1	AAT AAT	1 1	670
1 1	111	GAT []] GAT	TCA TCA		111	111	CTT []] CTT		111	ATA ATA			AGT AGT	ATT ATT	CCA CCA	718
CCT []] CCT	111	ATT ATT	AAA AAA	CAA CAA												733

FIG. 15 SEGMENT F: (SEQ ID NOS:45-48)

AGTTTCCCCC	CCCAACTTGT CGGAACTCTG	GGCTCGCGCG CAGGGCAGGA GCGGAGCGGC	60
GGCGGCTGCC	CAGGCGATGC GAGCGCGGGC	CGGACGGTAA TCGCCTCTCC CTCCTCGGGC	120
TGCGAGCGCG	CCGGACCGAG GCAGCGACAG	GAGCGGACCG CGGCGGGAAC CGAGGACTCC	180
CCAGCGGCGC	GCCAGCAGGA GCCACCCCGC	GAGNCGTGCG ACCGGGACGG AGCGCCCGCC	240
AGTCCCAGGT	GGCCCGGACC GCACGTTGCG	TCCCCGCGCT CCCCGCCGGC GACAGGAGAC	300
GCTCCCCCCC		CGGTCGCTGG CCCGCCTCCA CTCCGGGGAC	360
	CGAAGCCGAT CCCAGCCCTC	GGACCCAAAC TTGTCGCGCG TCGCCTTCGC	420
11 1111111	CCGCGCAGAG CGTGCACTTC	Met Ser Glu Arg Arg TCGGGCGAG ATG TCG GAG CGC AGA	474
111 111 1		Gly Lys Lys Asp Arg Gly Ser Gly GGC AAG AAG GAC CGA GGC TCC GGG AAG AAG AAG GAG CGA GGC TCC GGC K	522
AAG AAG CC	O Val Pro Ala Ala Gly C GTG CCC GCG GCT GGC 	GGC CCG AGC CCA G	559

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FIG. 16A (SEQ ID NOS:49-50)

									la Se					er Gi	SA GAA Iy Glu I5	49
		TGC Cys														97
		ACC Thr 35														145
		CAT His														193
		GGC Gly														241
TAC Tyr	TTG Leu	TGC Cys	AAG Lys	TGC Cys 85	CAA Gln	CCT Pro	GGA Gly	TTC Phe	ACT Thr 90	GGA Gly	GCG Ala	AGA Arg	TGT Cys	ACT Thr 95	GAG Glu	289
		CCC Pro					Thr					Glu				337
		AGA Arg 115														385
		ATC Ile														433
		CTT Leu														481
		ATG Met														529
GAG Glu	Asn	GTG Val	Gln	Leu	Val	Asn	Gln	Tyr	Val	Ser	Lys	Asn	Val	Ile	TCT Ser	577

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FIG. 16B

										AGC Ser						625
										ACT Thr						673
										AGC Ser 235						721
										AAC Asn						769
										GGC Gly						817
										GAA Glu						865
										CTT Leu						913
										CAG Gln 315						961
										TGG Trp						1009
ACC Thr	CCT Pro	TGG Trp	CCT Pro 340	TTA Leu	GGA Gly	AGG Arg	TAT Tyr	GTA Val 345	TCA Ser	GCA Ala	ATG Met	ACC Thr	ACC Thr 350	CCG Pro	GCT Ala	1057
			Pro							AGC Ser						1105
										ACG Thr						1153

FIG. 16C

TCC ATG GCG GTC AGT CCC TTC GTG GAA GAG GAG AGA CCC CTG CTC CTT Ser Met Ala Val Ser Pro Phe Val Glu Glu Glu Arg Pro Leu Leu Leu 385 390 395 400	1201									
GTG ACG CCA CCA CGG CTG CGG GAG AAG TAT GAC CAC CAC GCC CAG CAA Val Thr Pro Pro Arg Leu Arg Glu Lys Tyr Asp His His Ala Gln Gln 415	1249									
TTC AAC TCG TTC CAC TGC AAC CCC GCG CAT GAG AGC AAC AGC CTG CCC Phe Asn Ser Phe His Cys Asn Pro Ala His Glu Ser Asn Ser Leu Pro 420 425 430	1297									
CCC AGC CCC TTG AGG ATA GTG GAG GAT GAG GAA TAT GAA ACG ACC CAG Pro Ser Pro Leu Arg Ile Val Glu Asp Glu Glu Tyr Glu Thr Thr Gln 435 440 445	1345									
GAG TAC GAA CCA GCT CAA GAG CCG GTT AAG AAA CTC ACC AAC AGC AGC Glu Tyr Glu Pro Ala Gln Glu Pro Val Lys Lys Leu Thr Asn Ser Ser 450 460	1393									
CGG CGG GCC AAA AGA ACC AAG CCC AAT GGT CAC ATT GCC CAC AGG TTG Arg Arg Ala Lys Arg Thr Lys Pro Asn Gly His Ile Ala His Arg Leu 465 470 480	1441									
GAA ATG GAC AAC AAC ACA GGC GCT GAC AGC AGT AAC TCA GAG AGC GAA Glu Met Asp Asn Asn Thr Gly Ala Asp Ser Ser Asn Ser Glu Ser Glu 485 490 495	1489									
ACA GAG GAT GAA AGA GTA GGA GAA GAT ACG CCT TTC CTG GCC ATA CAG Thr Glu Asp Glu Arg Val Gly Glu Asp Thr Pro Phe Leu Ala Ile Gln 500 505 510	1537									
AAC CCC CTG GCA GCC AGT CTC GAG GCG GCC CCT GCC TTC CGC CTG GTC Asn Pro Leu Ala Ala Ser Leu Glu Ala Ala Pro Ala Phe Arg Leu Val 515 520 525	1585									
GAC AGC AGG ACT AAC CCA ACA GGC GGC TTC TCT CCG CAG GAA GAA TTG Asp Ser Arg Thr Asn Pro Thr Gly Gly Phe Ser Pro Gln Glu Glu Leu 530 535 540	1633									
CAG GCC AGG CTC TCC GGT GTA ATC GCT AAC CAA GAC CCT ATC GCT GTC Gln Ala Arg Leu Ser Gly Val Ile Ala Asn Gln Asp Pro Ile Ala Val 545 550 560	1681									
TAAAACCGAA ATACACCCAT AGATTCACCT GTAAAACTTT ATTTTATATA ATAAAGTATT	1741									
CCACCTTAAA TTAAACAAAA AAA										

FIG. 17A (SEQ ID NOS:51-52)

														TCG Ser 15		48	
														TCC Ser		96	, "W
GGG Gly	CGC Arg	CTC Leu 35	AAG Lys	GAG Glu	GAC Asp	AGC Ser	AGG Arg 40	TAC Tyr	ATC Ile	TTC Phe	TTC Phe	ATG Met 45	GAG G1u	CCC Pro	GAG Glu	144	
														CCC Pro		192	
														GCT Ala		240	
														CAG Gln 95		288	
TCT Ser	GTG Val	GCA Ala	GGT Gly 100	TCC Ser	AAA Lys	CTA Leu	GTG Val	CTT Leu 105	CGG Arg	TGC Cys	GAG Glu	ACC Thr	AGT Ser 110	TCT Ser	GAA Glu	336	
														TTA Leu		384	
		Asn												GGG Gly		432	
TCA Ser 145	Glu	CTT Leu	CGC Arg	ATT Ile	AGC Ser 150	AAA Lys	GCG Ala	TCA Ser	CTG Leu	GCT Ala 155	GAT Asp	TCT Ser	GGA Gly	GAA Glu	TAT Tyr 160	480	
ATC Met	TGC Cys	AAA Lys	GTG Val	ATC Ile 165	Ser	AAA Lys	CTA Leu	GGA Gly	AAT Asn 170	Asp	AGT Ser	GCC Ala	TCT Ser	GCC Ala 175	AAC Asn	528	

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FIG. 17B

Tle																	5/6
AGC (Ser)																	624
GGA (672
TTG Leu 225																	720
GTG Val																	768
GGT (816
ACT Thr								TAG	CGCA [*]	TCT (CAGT	CGGT	SC C	GCTT	TCTTG		870
TTGC	CGCA	ATC .	TCCC	CTCA	GA T	TCCN	CCTA	G AG	CTAG	ATGC	GTT	TTAC	CAG (GTCT	AACATT		930
GACT	GCC	TCT (GCCT	GTCG	CA TO	GAGA	ACAT	T AA	CACA	AGCG	ATT	GTAT(GAC :	TTCC	rctgtc		990
CGTG	ACTA	AGT I	GGGC	TCTG	AG C	TACT	CGTA	G GT	GCGT	AAGG	CTC	CAGT(GTT :	TCTG	AAATTG	1	050
ATCT	TGA	ATT ,	ACTG	TGAT	AC G	ACAT	GATA	G TC	CCTC	TCAC	CCA	GTGC/	AAT (GACA	ATAAAG	1	110
GCCT	TGA	، ۵۵۵	GTC A	ΔΔΔΔ.	ΔΔ Δ.	ΔΔΔΔ	ΔΔΔΔ	Δ								1	140

FIG. 18A (SEQ ID NOS:53-54)

AGTTTCCCCC CC	CAACTTGT CG	GAACTCTG GGC	TCGCGCG	CAGGGCAGGA G	CGGAGCGGC	60
GGCGGCTGCC CA	AGGCGATGC GA	GCGCGGGC CGG	ACGGTAA	TCGCCTCTCC C	TCCTCGGGC	120
TGCGAGCGCG CC	CGGACCGAG GC	AGCGACAG GAG	GCGGACCG	CGGCGGGAAC C	GAGGACTCC	180
CCAGCGGCGC GC	CCAGCAGGA GC	CACCCCGC GAG	CGTGCGA	CCGGGACGGA G	CGCCCGCCA	240
GTCCCAGGTG GC	CCCGGACCG CA	CGTTGCGT CCC	CCGCGCTC	CCCGCCGGCG A	CAGGAGACG	300
CTCCCCCCCA CG	CCGCGCGC GC	CTCGGCCC GGT	CGCTGGC	CCGCCTCCAC T	CCGGGGACA	360
AACTTTTCCC GA	AAGCCGATC CC	AGCCCTCG GAC	CCAAACT	TGTCGCGCGT C	GCCTTCGCC	420
GGGAGCCGTC CG	GCGCAGAGC GT	GCACTTCT CGG		G TCG GAG CG t Ser Glu Ar 1		473
GAA GGC AAA G Glu Gly Lys G						521
AAG AAG CCC 6 Lys Lys Pro V	/al Pro Ala					569
CGC TTG AAA 6 Arg Leu Lys 6 40						617
GTG CTT CGG 1 Val Leu Arg (55						665
TGG TTC AAG A Trp Phe Lys A 70	AAT GGG AGT Asn Gly Ser 75	GAA TTA AGC Glu Leu Ser	CGA AAG Arg Lys 80	AAC AAA CCA Asn Lys Pro	CAA AAC Gln Asn 85	713
ATC AAG ATA (Ile Lys Ile (761
GCG TCA CTG (Ala Ser Leu /	GCT GAT TCT Ala Asp Ser 105	GGA GAA TAT Gly Glu Tyr 110	Met Cys	AAA GTG ATC Lys Val Ile 115	AGC AAA Ser Lys	809

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FIG. 18B

														TCA Ser			857
														GTG Val			905
														AAT Asn			953 `.#
														GTC Val 180		1	.001
														TGC Cys		1	049
			Asp											TGC Cys		1	.097
														AGC Ser		1	145
										CCT Pro 240		TAG(GCGC,	ATG		1	191
CTC	AGTC(GGT (GCCG	CTTT	CT TO	STTG	CCGC	A TC	TCCC	CTCA	GAT	TCAA	CCT ,	AGAG	CTAGAT	. 1	1251
GCG	ПТ	ACC .	AGGT	CTAA	CA T	rgac	TGCC ⁻	T CT	GCCT	GTCG	CAT	GAGA	ACA	TTAA	CACAAG	i 1	1311
CGA	TTGT	ATG .	ACTT	CCTC	TG TO	CCGT	GACT	4 GT	GGGC	TCTG	AGC [*]	TACT	CGT .	AGGT	GCGTAA	. 1	1371
GGC	TCCA	GTG	TTTC	TGAA	AT TO	GATC	TTGA	A TT	ACTG	TGAT	ACG/	4CAT	GAT .	AGTC	CCTCTC	: 1	1431
ACC	CAGT	GCA .	ATGA	CAAT	AA A(GGCC	TTGA	A AA	GTCT	CACT	ПТ	ATTG/	AGA .	АААТ	AAAA T	. 1	1491
CGT	TCCA	CGG	GACA	GTCC	CT C	ПСТ	TTAT	AA A	ATGA	CCCT	ATC	CTTG	AAA .	AGGA	GGTGTG	i 1	1551
TTA	AGTT	GTA .	ACCA	GTAC.	AC A	CTTG	AAATı	G AT	GGTA	AGTT	CGC	TTCG	GTT	CAGA	ATGTGT	. 1	1611
TCT	TTCT	GAC	AAAT.	AAAC	AG A	ATAA	AAAA.	A AA	AAAA	AAAA	Α						1652

PATENT ATTORNEY DOCKET NO: 04585/048002

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled THERAPEUTIC METHODS COMPRISING USE OF A NEUREGULIN, the specification of which

	is attached hereto.
×	was filed on May 5, 2000 as Application Serial No. 09/530,884
	and was amended on
	was described and claimed in PCT International Application No. PCT/US98/21349
	filed on and as amended under PCT Article 19 on

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

FOREIGN PRIORITY RIGHTS: I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Country	Serial Number	Filing Date	Priority Claimed?		
PCT	PCT/US98/21349	08 October 1998	Yes		

PROVISIONAL PRIORITY RIGHTS: I hereby claim priority benefits under Title 35, United States Code, §119(e) and §120 of any United States provisional patent application(s) listed below filed by an inventor or inventors on the same subject matter as the present application and having a filing date before that of the application(s) of which priority is claimed:

Serial Number	Filing Date	Status
60/062,109	14 October 1997	Abandoned

NON-PROVISIONAL PRIORITY RIGHTS: I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by

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the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information I know to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Serial Number	Filing Date	Status

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Paul T. Clark, Reg. No. 30,162, Karen L. Elbing, Ph.D. Reg. No. 35,238, Kristina Bieker-Brady, Ph.D. Reg. No. 39,109, Susan M. Michaud, Ph.D. Reg. No. 42,885, Mary Rose Scozzafava, Ph.D., Reg. No.36,268, James D. DeCamp, Ph.D., Reg. No. 43,580.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

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